



# EPCS Re-Certification

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In Compliance**

**TABLE OF CONTENTS**

**TABLE OF CONTENTS..... 1**

**WHO IS DRUMMOND GROUP?..... 2**

**ADDITIONAL CERTIFICATION SERVICES ..... 2**

**DRUMMOND EPCS CERTIFICATION PROCESS ..... 3**

    Security Review ..... 3

    Initial Audit ..... 3

    Final Audit (if necessary) and Certification Report ..... 3

**ADDITIONAL IMPORTANT INFORMATION ..... 4**

**TEST METHOD ..... 4**

**CERTIFICATION SEAL ..... 4**

**TEST PRICING ..... 4**

**RE-TESTING ..... 4**

**REGISTER FOR TESTING ..... 4**



## WHO IS DRUMMOND GROUP?

Founded in 1999, [Drummond Group LLC](#) is a leader in innovative, global software testing, certification, and auditing which synergistically advance and connect technologies, standards, security and interoperability in vertical industries – smart grid, automotive, health care, pharmaceutical, financial services, government, petroleum, pharmaceutical and retail. Going well beyond the scope of traditional "paper audits," Drummond has developed procedures, test cases and even additional software to conduct and assess the results of functional tests of software applications - individually in their installed environments (conformance) and in multiple-connected groups when applications of different vendors are brought together for a sequence of conformance and interoperability tests. As a trusted, experienced and accredited software test lab, Drummond offers global test services throughout the product life cycle, including certification, auditing, quality assurance and conformance testing.

## ADDITIONAL CERTIFICATION SERVICES

- GS1 EPCIS DSCSA Track and Trace
- HITRUST
- CSOS (Controlled Substance Ordering Systems)
- ONC Health IT Services
- Penetration Testing
- PCI Compliance
- Secure Messaging (AS2, AS3, AS4, ebXML)
- GDSN Data pool



### Security Review

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The DEA requires that EPCS applications address security to prevent insider threats and outsider attacks on any system. Careful review by an independent, qualified third-party of the "processing integrity" of any application is required to determine whether an application or application service provider has adequate protection against the range of potential security threats.

Drummond will confirm your security posture by reviewing any independent security assessments you have undergone and by having you complete the Drummond Security Survey.

Drummond offers security services such as vulnerability assessments, penetration testing and, risk assessments, and can assist in meeting the DEA requirement for processing integrity.

### Initial Audit

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A Drummond EPCS proctor will work with your company and evaluate your application during a live demonstration. Drummond's EPCS test plan - based on the DEA EPCS regulations - will be used for the evaluation. This work will be done remotely.

The Initial Audit is a dry-run review of a completed EPCS solution (candidate release solution only) by your EPCS proctor. Drummond will notify you of its findings via a Gap Report. The Gap Report outlines whether your product meets or does not meet each DEA IFR regulation and provides detailed responses on each potential failure. The Gap Report will provide detailed information on what failed the pre-audit, including why it failed and what needs to be done to correct the issue before advancing to the final test.

### Final Audit (if necessary) and Certification Report

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Drummond will conduct the final review against a production release version of application enabled with EPCS in a staging environment. During this phase, the test is very similar to the test performed in the initial audit and will cover the material provided in the Gap Report. Additional tests to address compliance issues will be considered for a reduced test fee.

Upon successful completion of the EPCS test of your product (with version), Drummond will issue your company a Certification Report, Certification Seal and your product will be listed on the Drummond website. At this point, you may announce your test completion via your preferred customer communications and/or marketing. Your customers then have an opportunity to review the certification report before use of your EPCS product. Prescribers or pharmacies also have a responsibility to ensure the application is compliant to EPCS regulations by reviewing the certification report.

## ADDITIONAL IMPORTANT INFORMATION

### DEA Approval of Drummond as a Third-Party Certification Organization

- Drummond’s certification process has been approved by the DEA and serves as a neutral third-party reviewer of EPCS applications.
- EPCS systems must undergo a rigorous test where Drummond carefully reviews and test EPCS applications to provide assurance that the application fully meets all of the requirements of the DEA [Interim Final Rule](#) for [Electronic Prescriptions for Controlled Substances](#).

## TEST METHOD

The test will be run remotely through Zoom screen sharing.

## CERTIFICATION SEAL

A certification seal will be issued with the Final Certification Report. Usage of the certification seal must comply with Drummond Group’s Seal Usage Guidelines.

## RE-CERTIFICATION PRICING

Pricing is available upon request. Bundled discounts apply when purchasing additional Drummond services or certifying multiple applications.



## RE-TESTING

A test of your EPCS solution is required by the DEA every two years. If you change your software in areas that affect the criteria, you will be required to complete an EPCS Attestation form on the change (email [EPCS@drummondgroup.com](mailto:EPCS@drummondgroup.com) for attestation form). An EPCS reviewer will then determine whether your product must be re-tested and provide any additional details. Pricing also will be issued at that time.

## REGISTER FOR TESTING

1. [REGISTER FOR TESTING](#)
2. After registration, you will receive an email with our Master Services Agreement and Statement of Work,
3. Upon completing the registration process, you will be introduced to an EPCS test proctor to set up an introductory call.

**We look forward to working with you!**

